

**AMENDMENT TRANSMITTAL LETTER**Docket No.  
VPI/98-104 CIP CONApplication No.  
09/728,173Filing Date  
December 1, 2000Examiner  
Louis D. LIETOArt Unit  
1632

Applicant(s): Kuida et al.

Invention: CASPASE-9 DEFICIENT ANIMALS AND THE USE THEREOF

**TO THE COMMISSIONER FOR PATENTS**

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	8	- 20 =		x	
Independent Claims	3	- 3 =		x	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify): Extension for response within second month					450.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					<b>450.00</b>

☒ Large Entity☐ Small Entity☐ No additional fee is required for this amendment.☒ Please charge Deposit Account No. 18-1945 in the amount of \$ 450.00.  
A duplicate copy of this sheet is enclosed.☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.☐ Payment by credit card. Form PTO-2038 is attached.☒ The Director is hereby authorized to charge and credit Deposit Account No. 18-1945  
as described below. A duplicate copy of this sheet is enclosed.☒ Credit any overpayment.☒ Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.Dated: August 29, 2005James F. Haley, Jr. (Reg. No. 27,794)  
Yu Lu, Patent Agent (Reg. No. 50,306)Fish & Neave IP Group of ROPES & GRAY LLP  
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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 8/29/05Signature: Susan Lanney

(Susan Lanney)



I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: 8/29/05

Signature: [Signature]

(Susan Lanney)

Docket No.: VPI/98-104 CIP CON  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:

Keisuke Kuida *et al.*

Confirmation No.: 4567

Application No.: 09/728,173

Art Unit: 1632

Filed: December 1, 2000

For: CASPASE-9 DEFICIENT ANIMALS AND  
THE USE THEREOF

Examiner: Louis D. LIETO

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION**

**UNDER 37 C.F.R. § 1.111**

Dear Sir:

This is in response to the March 29, 2005 Office Action in the above-identified application.

Applicants have concurrently petitioned for a two-month extension of time and paid the required fee under 37 C.F.R. §§ 1.136(a)(1) and 1.17(a)(2). With the extension, this response is due on or before August 29, 2005. Accordingly, it is timely filed.

**Amendments to the Specification** begins on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 5 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.